

RECOFTC



Annual Report

October 2008 - September 2009

RECOFTC – The Center for People and Forests holds a unique and important place in the world of forestry. It is the Asia-Pacific region's only international not-for-profit organization that specializes in capacity building for community forestry and devolved forest management. RECOFTC engages in strategic networks and effective partnerships with governments, nongovernmental organizations, civil society organizations, the private sector, local people, and research and educational institutes throughout the Asia-Pacific region and beyond. With over 20 years of international experience and a dynamic approach to capacity building – involving research and analysis, demonstration sites, and training products – RECOFTC delivers innovative solutions *for people and forests*.

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Message from

THE CHAIR OF BOARD OF TRUSTEES



For RECOFTC, the highlight of the past year has been the launch of its Third Strategic Plan, which covers the five years from 2008 to 2013. The plan has the title 'People and Forests in a Time of Change: Strengthening Capacities for Community Forestry to Respond.' This is really appropriate because the world is living in a period of extremely rapid environmental, social, and economic change. It is particularly appropriate for a regional institution such as RECOFTC because the Asia-Pacific region has the fastest rate of economic, social, and environmental change in the world.

The last decade has been one of enormous changes. From the shadows of the Asian Financial Crisis in the late 1990s, Asia and the Pacific have seen a barrage of change. The emergence of China and India as global growth centers has seen millions of people lifted out of poverty and millions more leave their farms in search of improved livelihoods in the towns and factories of the new economic zones. Countries like Indonesia have moved away from autocratic centralist regimes to democracies, actively decentralizing and opening up their polities to the participation by stakeholders who only a few years ago would have had little or no voice in government decision-making.

Yet, despite all this progress, there are enormous challenges facing both the region and the global community as a whole. As I write, the international community is in the midst of intense negotiations over forests and climate change as nations and communities seek to implement the Bali Road Map adopted at last year's momentous Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change (UNFCCC). The warnings of the scientific community are becoming clearer about the seriousness of this threat. It is also becoming clear that poor and marginalized people living in rural and coast areas are among the most vulnerable in the world, and that they will face the most critical challenges in adapting to climate change.

The past year has also seen new uncertainties arise with unprecedented increases in both oil prices and the price of food. Between 2006 and 2008, world prices for rice rose more by than 200%, wheat by more than 130%, corn by more than 120%

and soybeans by more than 100%. Oil prices also rose from 2003-2006 levels of \$30 a barrel to a peak of \$145 barrel in July 2008. This has created a global concern and caused political and economic instability and social unrest in both developing and developed countries. Economic instability has been further heightened by the recent bankruptcy of the large Lehman Brothers bank in the United States. This is currently having an impact on the banking system around the world, threatening continued economic growth and poverty alleviation in the growth economies of Asia.

In this time of rapid change, governments and people are recognizing the importance of forests as a safety net both environmentally and socially. However, improved forest management requires new solutions and new partnerships if the mistakes of the past are to be avoided. The people who live in and near forests are key stakeholders and potential allies in the process of forest conservation and management, and it is clear that the potential contribution of forests to human wellbeing will only be realized with their informed consent and active participation. For this to happen the capacity for community forestry management must be strengthened at all levels, from the enabling policies of government down to the communities living in and near forest areas.

The new Strategic Plan positions RECOFTC to make a substantial contribution to strengthening the capacity for community forestry in Asia and the Pacific. It focuses on four highly relevant areas – facilitating learning; promoting the practical application of knowledge and information; knowledge management and communication; and making local perspectives known. I am confident that it will help the organization make an even greater contribution to the region's people, forests, and equitable and sustainable development.

David Cassells
Chair of the Board of Trustees

Message from THE EXECUTIVE DIRECTOR



The year 2008–2009 was an exciting one for RECOFTC. We began by launching the five-year Strategic Plan, ‘People and Forests in a Time of Change: Strengthening Capacity for Community Forestry to Respond.’ The Plan builds on the success of the previous four-year period, which expanded the organization’s core functions beyond training, adding two key elements: field demonstration of best practices and analysis and representation of local perspectives. The new Strategic Plan continues with this ‘three-pronged’ approach, but with increased emphasis on the results-based management, impact on the ground, and effective communication.

To implement the new Strategic Plan effectively and to help RECOFTC better respond to an ever-changing environment, a new organizational structure was successfully put in place. This included the creation of two new units: Communication, Marketing, and Fund-raising (COMAF) and Program Coordination, Monitoring, and Evaluation (PROCOME). New staff appointments included four managers and other key professional staff, such as the Regional Facilitator for the Rights and Resources Initiative (RRI). RECOFTC also established new entry-level positions to provide opportunities for talented young people, especially from the region, to enter the natural resource management profession. To begin, four young graduates were recruited, and have already provided excellent contributions.

RECOFTC continues to strengthen relationships with existing clients, partners, and donors, and we are successfully building new partnerships. Our formal mandate to operate in six focal countries and in Nepal enabled RECOFTC to build relations with national government and non-government organizations, as well as donors operating in these countries. These include Finland’s Ministry of Foreign Affairs (through the Bangkok-based Embassy) and the Centre for International Migration and Development (CIM) – a German organization that provides co-funding for technical staff appointments. During the year, a Memorandum of Understanding (MoU) was signed with ASEAN’s Social Forestry Network (ASFN), and MoUs are being sought with the Japanese International Cooperation Agency (JICA) and the Beijing-based Asia-Pacific Network for Sustainable Forestland Rehabilitation and Management (APF-net).

In August 2009, RECOFTC co-organized the 'First Regional Forum for People and Forests: Carbon Financing and Community Forestry,' which was held in Hanoi, Vietnam. Organized together with Vietnam's Forest Department and the Bangkok office of the United Nations Food and Agriculture Organization (FAO), the forum involved around 50 senior officials from across the region, representing government, non-government, donor, and strategic partner organizations, and the private sector.

RECOFTC also made a substantial contribution to an international community forestry workshop held in September 2009 in Pokhara, Nepal. We provided financial support and contributed to workshop sessions, and I delivered the keynote presentation.

RECOFTC's financial performance continues to be encouraging with internally generated income exceeding the targeted amount. This enabled us to again increase our Financial Reserves this year. Both the Swedish International Development Cooperation Agency (Sida) and the Norwegian Agency for Development Cooperation (Norad) confirmed their financial support for implementing the 2008–2013 Strategic Plan. In addition, RECOFTC signed agreements with donors and partners for field project grants during 2008–2009. This support will greatly enhance our ability to promote community forestry in the region, and we thank the donors for their confidence in RECOFTC.

Yam Malla
Executive Director





The year 2008–2009 was an exciting one for RECOFTC, as it put into action the new five-year Strategic Plan ‘People and Forests in a Time of Rapid Change: Strengthening Capacities for Community Forestry to Respond.’ While continuing with its strategy of analysis, representation, and strengthening capacities, the organization has increased emphasis on effective communication and in-country activities to help push community forestry forward in the region. RECOFTC is now in a stronger position than ever to address real issues of concern for forest-dependent people in the Asia-Pacific region.

STRATEGIC DEVELOPMENTS

STRATEGIC DEVELOPMENTS

RECOFTC's Strategic Plan 2008–2013

RECOFTC's new Strategic Plan builds on the success of the last four years of the previous plan, which expanded the organization's vision and core functions beyond 'training' to adopt a more holistic approach to strengthening local people's engagement in forestry. A recent external evaluation concluded that the need for the organization has never been greater, especially in terms of efforts to reduce the adverse effects of major global and regional changes on local, natural resource-based livelihoods. It pointed out that RECOFTC is extremely well-positioned to lead efforts for local people's rights and issues of equity and poverty, which urgently need to be addressed at local and country levels, as well as in regional and global initiatives on forests.

RECOFTC consulted extensively with its donors, partners, and beneficiaries to design the new Strategic Plan. It acknowledges the rapid changes that the Asia-Pacific region is experiencing, and the major need to strengthen capacities to assist countries and forestry stakeholders to respond in ways that will bring meaningful benefits to local people and forests alike.

■ Vision

Local communities in the Asia-Pacific region are actively involved in the equitable and ecologically sustainable management of forest landscapes.

■ Mission

To enhance capacities at all levels to assist people of the Asia-Pacific region in developing community forestry and managing forest resources for optimum social, economic, and environmental benefits.

■ Long-term Desired Outcomes

RECOFTC aims to contribute to three main outcomes:

1. Improved human wellbeing through diversified livelihoods and stronger rights for people who live in and around forests;
2. Improved forest conditions to enhance local and national development, maintain domestic and global environmental services, and help mitigate climate change; and
3. Community forestry mainstreamed in the development and implementation of national forest programs and development strategies.

The Strategic Plan sets out the following specific goal, objective, and four expected results:

■ Goal

Countries in the Asia-Pacific region increasingly integrate the well-being of local people and environmental sustainability in their national forest programs and development

strategies, and support the effective engagement of local people in forest governance and management.

■ Objective

Relevant stakeholders' capacities are strengthened; policies that enhance the resource rights of local people are implemented; and new knowledge is applied so that people and community forestry can respond more effectively to change.

Result 1: Lessons learned in improving forestry practices and major issues affecting community forestry in the Asia-Pacific region are identified, analyzed, and shared with practitioners and decision-makers at local and international levels.

Result 2: High-quality capacity-building programs, products, and services are designed and delivered with partners to key stakeholders to increase their capacities and skills in forest governance and management, enhance forest-based livelihoods, and maintain forest-based environmental services.

Result 3: Effective regional communication and knowledge management support: (i) the development, marketing, and dissemination of RECOFTC products; (ii) the sharing of lessons learned in improving community forestry practices; (iii) the formulation and implementation of policies; and (iv) the establishment of new, and strengthening of existing, partnerships.

Result 4: Efficient corporate and support systems exist to (i) foster innovative program planning and delivery; (ii) ensure that programs and core functions are well-coordinated; and (iii) ensure that RECOFTC outcomes and impacts are monitored and evaluated.

To realize its new five-year Strategic Plan, RECOFTC is keen to work with like-minded partners in and beyond its focal countries, and invites all people and institutions involved in forestry to make use of its learning and knowledge management facilities.

■ Strengthening Relationships within the Region and Beyond

RECOFTC has established strong donor relationships with the embassies of Japan and Finland and developed stronger relations with Swedish International Development Cooperation Agency (Sida), Swiss Agency for Development and Cooperation (SDC), Norwegian Agency for Development Cooperation (Norad), the Norwegian embassy, and the Ministry for Foreign Affairs of Finland. RECOFTC has also established a partnership with CIM, a German organization that provides co-funding for technical staff to work in national, regional, and international institutions. An agreement has been reached for CIM to provide two senior program officers to work with Capacity Building Services (CABS) and Regional and Country Analysis and Support (RECAS) teams.

RECOFTC is also in the process of developing long-term partnerships with Southeast Asian Network for Agroforestry Education (SEANAPE) and Indonesia's Pusdiklat. An MoU was signed with Nepal's Ministry of Forest and Soil Conservation, which means that RECOFTC now has a formal mandate to operate in seven countries. The other countries are Cambodia, China, Indonesia, Lao People's Democratic Republic (Lao PDR), Thailand (host country), and Vietnam.

Key partners in project implementation include The Nature Conservancy (TNC), Overseas Development Institute (ODI), International Institute for Environment and Development (IIED), Rights and Resources Initiative (RRI), FAO, and Netherlands Development Organization (SNV). With all five organizations, collaborative work has been fruitful and rewarding and therefore has been extended into the future.

During the year, an MoU was signed with the ASEAN Social Forestry Network (ASFN), and one with the Asia-Pacific Network for Sustainable Forest Management and Rehabilitation (APF-Net) should be signed in early 2010, which will further facilitate the development of RECOFTC's strategic work in China.

■ **In-country Collaboration**

The five-year Thailand Collaborative Country Support Project (ThCCSP), funded by the Danish International Development Agency (Danida), was successfully completed in December 2008. To ensure that progress gained through this project and the previous Thailand Outreach Program is not lost, RECOFTC has begun to assemble a strategic portfolio of projects and activities for a new Thailand Country Program, with support from the Royal Thai Government.

Cambodia's two projects continue to deliver tangible results. Prospects for new projects are also good, with one due to start in October 2009.

Indonesia's two projects are on track, but nothing is in the pipeline yet to replace them after completion during the next financial year.

In Vietnam, a number of small but high-standard initiatives are influencing policy direction.

Both Lao PDR and China have seen less activity with greater involvement scheduled for later in the Program Plan.

■ **Financial Sustainability**

RECOFTC's financial performance continues to be encouraging with a significant increase in internally generated income. This enabled RECOFTC to meet a substantial part of the expense budget and increase the organization's reserves.

RECOFTC secured principal support of core funds from Sida and Norad for the implementation of the 2008–2013 Strategic Plan. To begin with, Sida has committed funds for the first two years, half of which were received during the year, and Norad contributed funds for one year. The Royal Thai Government contributed support for activities in Thailand for the current year. An ongoing contribution from Norway's Ministry of Foreign Affairs supports activities across the organization as a whole.

Income from internal sources of revenue was higher than the original target set at the beginning of the year. Compared with the last program, this amount is almost 57% higher than the average annual internal revenue for the last program and almost 21% higher than the internal revenue in the last financial year. This increase in revenue has enabled RECOFTC to continue covering almost a quarter of total expenses through internal funds and to make contributions to the Reserve Fund, which now stands at a healthy amount.

The increase in overall expenses was primarily due to the expansion of the core program. Project funds were much higher than last year and for the first time exceeded core funding. RECOFTC also embarked on an innovative two-year project to increase its own fund-raising capacities, with generous support from the Ford Foundation. This project includes targeted training of staff and Board members, as well as the building of an organization-wide fund-raising culture and systems.

■ RECOFTC Governance and Management

RECOFTC's governance and management continues to be overseen by its Board of Trustees (BOT) and Executive Committee, led by the Executive Director. RECOFTC is fortunate to enjoy diverse and expert representation on our BOT, under the leadership of TNC's David Cassells.

A new organizational structure, designed to help RECOFTC respond better to a changing environment, was successfully implemented this year. This included the appointment of four new unit managers and other key professional staff, such as the Regional Facilitator for the Rights and Resources Initiative (RRI). A key focus under the new program is recruitment at all levels, with a number of new entry-level positions being established. RECOFTC hopes that this will provide a valuable opportunity for talented young natural resource management professionals, especially from the Asia-Pacific region.

During the year, two new units were established, one to manage strategic communications, marketing, corporate fund-raising, and knowledge management, and the other to coordinate RECOFTC's overall program and lead monitoring and evaluation. Synergies among RECOFTC's core units have been enhanced significantly as a result, with few activities or projects implemented within one unit alone. The upgrading of strategic communications to a full unit has visibly diversified and improved RECOFTC's products and services. Results of analytical work are increasingly feeding directly into capacity building. New regional projects have been designed with cross-unit collaboration in mind. There is clearly an atmosphere that working in teams can significantly improve RECOFTC's work, outreach, and ultimately its impact.



NO	DATE	TIME	NAME	STATUS
1	10/10/2023	10:00 AM
2	10/10/2023	10:00 AM
3	10/10/2023	10:00 AM
4	10/10/2023	10:00 AM
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8	10/10/2023	10:00 AM
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Result 1

Lessons learned in improving forestry practices and major issues affecting community forestry in the Asia-Pacific region are identified by RECOFTC, analyzed, and shared with practitioners and decision-makers at local and international levels.

ANALYSIS AND REPRESENTATION

Securing Local Rights to Forests and Forest Products

Local communities and indigenous peoples continue to demand stronger rights so that they can benefit directly from improved forest governance and management. Exactly which bundle of rights will help to reduce poverty and improve environmental sustainability most effectively is still being debated and tested. RECOFTC seeks opportunities to enable local communities and indigenous peoples to exercise their rights and to obtain just benefits for their engagement.

Discussions on REDD mechanisms have brought this debate to the fore again, and it is critical for RECOFTC to continue to advocate for more secure and stronger forest rights. RECOFTC works with civil society organizations and government partners in the Asia-Pacific region to address rights on two fronts:

- On a national level, RECOFTC emphasizes that investors in climate change mitigation projects should view secure local tenure and rights to forest areas as significant, if not compulsory, to factor in their decision to invest in a country's forest carbon market. Secure, equitable, and transparent forest rights will considerably reduce the risk (and enhance the permanence) of forest carbon projects.
- Internationally, RECOFTC is working with the Global Alliance of Community Forestry (GACF) to advocate for the practical importance of community forestry and decentralized forest management systems for the delivery of REDD results. Recognition of these management approaches within international REDD mechanisms will serve as a powerful spur to scaling up community forestry in countries that wish to engage in the market for forest carbon.

RECOFTC's on-the-ground projects, such as those in Cambodia and Indonesia, aim to secure community forestry rights of local communities. Demonstrating and supporting the actual transfer of rights to local communities and indigenous peoples provides valuable practical experience for other aspects of RECOFTC's work.

Strengthening Forest Governance

RECOFTC continues to work closely on forest issues with key partners such as IIED. As a regional coordinator of the Forest Governance Learning Group, RECOFTC is supporting activities in China, India, Indonesia, and Vietnam. A number of reports and policy briefs have been finalized and the second phase, entitled 'Social Justice in Forestry,' has received five years' funding from the European Union. RECOFTC will continue to play a coordinating role in the region.

RECOFTC is working to develop a Governance Index (GI), focusing on how to measure the governance performance of community forestry. The development of the GI is considered important to know what components of governance need special attention, to target intervention, and to help monitor progress towards good governance. GI implementation work will begin next year on a small scale.

RECOFTC and SNV continue to collaborate on governance issues, and analytical work has laid the foundation of a new governance training manual currently in development and testing in Lao PDR, Nepal, and Bhutan. Participants in these three countries have developed their own action plans for improving governance practices in their working environment.

Delivering Benefits to Local People

RECOFTC's work on the role of forests and forestry in poverty reduction clearly indicates that while some income is generated by local people through forest management, capture by state, private sector, or elite groups can prevent equitable benefit sharing. The contribution of community forestry to poverty reduction is below expectations.

A similar outcome could materialize under any REDD mechanism. Independent and international standard-setting bodies will have a major role to play in developing the guidelines for benefit-sharing systems, for both voluntary and compliance markets to follow. Buyers of forest carbon credits (whether the private sector or governments) will look to the application of such standards to minimize the risk of investment. RECOFTC is engaging in the development of social standards for the Community, Climate and Biodiversity Alliance (CCBA), which is set to become part of a template for minimum international standards for trade in forest carbon credits and which will draw considerably on the lessons from forest certification over the past 20 years.

Voluntary REDD allows innovation in benefit-distribution systems. RECOFTC is working with RRI's partner, the Federation of Community Forestry Users, Nepal (FECOFUN), and through engagement in a Norad-funded project in Tanzania, to demonstrate how existing civil society networks can be employed as benefit distribution systems for national forest carbon revenue.

Analyzing and Presenting Key Issues

In May 2009, RECOFTC published an Issues Paper entitled 'Payments for Environmental Services and Poverty Reduction: Risks and Opportunities.' The publication has been widely disseminated through the RECOFTC website and in printed form. Its framework of analyzing issues of Payments for Environmental Services (PES) and poverty reduction through a framework of risks, opportunities, and constraints fed into the planning and call for papers for the 'First Regional Forum for People and Forests' and continues to influence RECOFTC's messaging.

It is crucial that RECOFTC continues to engage in work that improves benefit sharing and reduces the cost of forest management by local people. This includes advocating that not only the forests of poor commercial or no value are handed over to local communities.

People, Forests, and Climate Change

RECOFTC's strategy for engagement in the theme of 'People, Forests and Climate Change' covers adaptation and mitigation under the four result areas: Analysis, Advocacy, Network Development, and Capacity Building.

■ Climate Change Adaptation

There is a high degree of uncertainty associated with both the impacts and the timing of climate change. Broad-based agricultural development to lift rural communities out of poverty is widely viewed as the most effective adaptation strategy available, and should be promoted. RECOFTC is focusing on strengthening institutions; strengthening forest access, use, and management rights of forest-dependent people; diversifying livelihoods and income sources; and mainstreaming adaptation to climate change through its trainings.

Engaging Youth in Climate Change

In Thailand RECOFTC organized the Community Forestry Youth Camp: New Blood for People and Forests. This event stressed the role that forests play in local communities' livelihoods, and how effective community forestry can help local people adapt to climate change.

■ Climate Change Mitigation

RECOFTC is ideally placed to spread the message that harnessing local knowledge and skills and participatory approaches is essential for the success of REDD as a climate change mitigation measure. In the medium term, we aim to see this message strongly represented in national statements and international decision-making processes, such as at COP15 in Copenhagen in December 2009. It was also a message that was strongly articulated during the First Regional Forum for People and Forests and at a press conference organized during the UNFCCC climate change deliberations in Bangkok.

RECOFTC and the Global Alliance of Community Forestry at COP14

Coordination and guidance of GACF members at COP14 in Poznan, Poland, enabled them to directly influence their national delegations, resulting specifically in a statement from Nepal that echoed RECOFTC's key messages on REDD. A well-attended event at the Center for International Forestry Research (CIFOR) Forest Day 2, with GACF members as key resource persons, further heightened their profile. RECOFTC and the GACF followed up this initial engagement by developing a concise submission to the UNFCCC on the role of community forestry in REDD, a message strengthened by linkages to parallel submissions from RECOFTC's Forest Day partners, the International Institute for Geo-Information Science and Earth Observation (ITC) and the International Centre for Integrated Mountain Development (ICIMOD).

RECOFTC has gained recognition as a key regional and global player in the REDD debate. Some highlights include participation in The Forests Dialogue's REDD financing series, invitations to brief the Lao national delegation on REDD before COP14 and COP15, an invitation to perform consultancy work for CARE International, and selection as part of the Forest Carbon Working Group of the Forest Stewardship Council (FSC).

■ Strengthening Regional Networks: Linking Countries and People

RECOFTC's regional focus acts a bridge between global and national advocacy networks, enabling us to bring key actors together for constructive dialogue.

- With TNC-RAFT, RECOFTC has conducted three 'distillation' REDD workshops on key issues in the lead up to Copenhagen.¹ This is the first step in the creation of a REDD 'Learning Network' for the region, bringing together key experts and policy influencers from both government and civil society bodies.
- Through the SDC partnership, RECOFTC has also initiated a regional civil society network on forests and climate change through a strategic planning workshop in Kathmandu. The participants at this event developed plans to influence national REDD processes and to coordinate international messages leading up to and beyond COP15.
- RECOFTC is an implementing partner for the Asia-Pacific region of the ODI-led REDD-net program funded by Norad. REDD-net aims to build civil society networks in the South and enhance their influence in national and international climate change processes.

Conflict Management

By driving towards a deeper understanding of the underlying and direct causes of forest-related conflict, RECOFTC's analytical work provides a base upon which effective approaches to address conflict can be developed. Without such an understanding, conflict management is ineffective or even exacerbates existing conflicts. RECOFTC developed a one-year initiative for regional conflict management focusing on analyzing conflict between communities and outsiders (e.g. governments, private companies). Including each of RECOFTC's six focal countries, the main objectives of this initiative are to:

- Develop the skills and capacity of local analysts to carry out research on conflict;
- Document conflict cases in Asia and establish key lessons for policy-makers and practitioners, because this is policy-oriented research; and
- Contribute case studies for RECOFTC's capacity-building initiative on conflict management and transformation.

Recently, three publications have been published on conflict and conflict management. Two are case studies from Indonesia and Nepal; the other is a global analysis jointly done by RRI, RECOFTC, and CIFOR. Another article is now under review with the Forest Policies and Economics Journal based on comparative lessons between Indonesia and Vietnam.

¹ A fourth workshop on 'Restoration in REDD+' was organized upon a request from USAID in October 2009.





Result 2

RECOFTC continues to deliver high-quality capacity-building programs, products, and services that are designed and delivered with partners to key stakeholders on the ground. This is to increase their capacities and skills in forest governance and management, enhancing forest-based livelihoods, and maintaining forest-based environmental services.

CAPACITY BUILDING

In the past year, eight new training products have been designed, and 16 capacity-building programs have been completed. More than 650 participants including government staff, university lecturers, civil society members, and the private sector from 11 countries have participated in training events. In addition, two customized training courses and three study tours were delivered.

Additional cutting-edge training programs are being developed. One will focus on community and climate change adaptation and another on REDD and community forestry. A climate change adaptation action-learning network program has been designed and is ready to be implemented. Guidelines and a training program on consultation processes in REDD will be developed through a consortium of interested organizations, in collaboration with the German Agency for Technical Cooperation (GTZ).

■ Assessing Capacities

To enhance community forestry capacity, a comprehensive understanding of capacity needs in the focal countries is essential. Capacity-building needs assessment guidelines have been developed and are being implemented in Indonesia and Thailand. The process has led to a strengthened mandate for RECOFTC to work in-country involving partners and other stakeholders. A community forestry competency standard has also been developed and introduced for the first time in the region. The results of the assessments will guide the development of RECOFTC's capacity-building programs, products, and services. Also, a monitoring and evaluation system for capacity-building programs is in operation.

■ Fostering Partnerships

RECOFTC has invested significant effort in fostering partnerships with various organizations on key issues. Organizations at the regional level include APF-Net, FAO, GTZ, the SEANAFE, SNV, TNC, and Wageningen International. RECOFTC is also actively building strategic partnerships with educational and training organizations in focal countries to develop capacity for scaling up community forestry in-country. These organizations include the Community Based Natural Resource Management Learning Institute (CBNRM LI) in Cambodia; the Agro-Forestry Training Center of China; the Center of Education and Training (Pusdiklat), the Indonesian Center for Environmental Law (ICEL), and University of Hasanuddin (UNHAS) in Indonesia; and the Vietnam Forestry University. The ties with partners have grown stronger with the development of joint proposals. This has enabled RECOFTC to extend its outreach, in particular through delivering capacity-building programs in local languages. Three trainers from partner organizations have been brought into a regional training course on conflict management to prepare for the introduction of local training in 2010.

Training: Landscape Functions and People

RECOFTC and Wageningen International conducted an international training course on Landscape Functions and People: Strategic Approaches for Good Natural Resource Governance during October and November 2008. Twenty-three participants from 11 regional countries attended. The course was successful and another is planned for November 2009.

■ ASEAN Social Forestry Network (ASFN)

With SDC funding, RECOFTC has been playing a significant role in developing the institutional capacity of ASFN. A team at the ASFN Secretariat has been established, a work plan finalized, and training on networking for ASFN staff conducted. Through this project, a number of ASFN staff attended COP14 in Poznan, Poland. RECOFTC supported ASFN in conducting a side-event on climate change, which was held back-to-back with the third ASFN meeting in the Philippines in June 2009. A brief was produced based on the side-event outlining the role of social forestry in climate change mitigation and adaptation in ASEAN Member States.

■ Forest Policy

In response to requests from member countries of the Asia-Pacific Forestry Commission, RECOFTC and FAO are jointly developing a course on forest policy, which will be organized in March 2010. The 'Third Executive Forest Policy Short Course' will be designed to address the current shortcomings in policy analysis, formulation, and review. The course structure builds on the experience of two highly commended courses organized by FAO and the Secretariat of the Pacific Community. It is now becoming a regular event for improving the quality of policy processes in the region.

■ Five-phase Conflict Training

The natural resource management (NRM) conflict management capacity of selected government staff, NGOs, and the private sector has been enhanced through a five-phased conflict management training process, implemented in partnership with TNC/RAFT. A total of 15 participants from seven countries successfully completed the process. Through an evaluation process, all have affirmed the relevance and applicability of the training to their work. A regional NRM conflict e-learning network has been developed and tested, providing training to participants with a learning platform for experience-sharing and peer-mentoring. Training participants have been able to put the lessons into practice in their specific work environments. One of the participants has organized a training course in his company, thereby extending the training influence to his colleagues. One of the Vietnamese participants is currently the only trained 'expert' in NRM conflict management



in one of the most biodiverse areas of Vietnam, where significant NRM-related disputes exist. He has been approached to act as a trainer for similar local-level NRM conflict capacity building. Likewise RECOFTC Cambodia staff have used their expertise gained through both training and case study writing to train and coach national stakeholders in conflict management.

■ Regional Focus

RECOFTC is engaged in a number of on-the-ground capacity building-related projects in the region. These include two projects in South Sulawesi, Indonesia. One, a Ford Foundation-funded project for enhancing community-based forest management capacity, has been extended until 2010 in order to build upon the achievements of the first phase.

RECOFTC has also worked closely with the Royal Thai Department of National Parks, Wildlife and Plant Conservation (DNPW) and other partners in strengthening the Andaman Marine Areas Network. This multi-million dollar project was inaugurated in March 2009, with key conservation actors identifying criteria, roles, and potential institutes for involvement in the network.

In Cambodia, two existing projects have important training components to support community forestry development, and a new project has been awarded.

■ Training for Impact

In all courses, participants have provided positive feedback on training materials, content, handouts, and training methodology. In the SDC-supported REDD training held in Cambodia, many participants indicated that they finally understood the concepts



underlying REDD and the importance in providing all stakeholders, especially the local communities, with fair opportunities in decision making and benefit sharing. They felt more confident in working with forest-dependent communities to implement the first REDD project in Cambodia: the Community Forestry Carbon Offset Project in Oddar Meanchey Province.

Another example of high-impact training has been the participatory forest management training requested by JICA Ethiopia. This is the first time that RECOFTC has provided its capacity-building services outside of the region. The course proved successful with requests for follow-up training.

■ New Products

Responding to demand and evolving challenges concerning community forestry, the following new products were developed and put into use in 2008-2009:

1. Natural Resources Governance: A ten-day training course, with follow-up mentoring options
2. Natural Resources Governance: Annual training workshops, with networking support
3. Natural Resources Governance: A two-day workshop for government officials
4. REDD Capacity Building for Grassroots Forest Sector Stakeholders
5. The Natural Resources Conflict Management Learning Network
6. The Community Forestry Competency Standard
7. The Capacity Building Needs Assessment Guideline
8. The Monitoring and Evaluation Guideline for the Training and Mentoring Program



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Community Based Way of Sustainability Issues in Chawng Thakong, Kachin State, Myanmar



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Community Based Way of Sustainability Issues in Chawng Thakong, Kachin State, Myanmar





Result 3

RECOFTC is intensifying its effective regional communication and knowledge management support. It focuses on the development, marketing, and dissemination of RECOFTC products, sharing lessons learned in improving community forestry practices, and the establishment of new partnerships and strengthening of existing ones.

EFFECTIVE COMMUNICATION

EFFECTIVE COMMUNICATION

Greater investment in strategic communication, marketing, knowledge management, and information technology is beginning to show very tangible outcomes. Raising strategic communication functions to the level of a dedicated unit is concrete evidence of increased organizational commitment to more effective internal and external communication and networking. There is also growing evidence that RECOFTC is communicating in a more unified, clear, and compelling way.

■ New Brand Culture

The RECOFTC brand has been successfully overhauled producing a new brand that is fresher and more contemporary, and more effective at capturing RECOFTC's identity and core purpose. The name of the organization is now referred to as RECOFTC – The Center for People and Forests. This reflects the strategic shift – going beyond being a training center towards analyzing, building capacity for, and communicating a more holistic range of issues critical to moving community forestry forward.

■ 'Freshening Up'

RECOFTC's marketing and publicity materials, website, and the monthly E-news have all been redesigned. A comprehensive package of printed materials (including stationery, business cards, and report covers with the new look and logo) have been developed with the existing website and online 'Ning' learning networks sporting the new brand. The website will be completely overhauled in the coming year.

The First Regional Forum for People and Forests was the first major event to harness the power of a more strategic communications approach. Considerable effort was made to help fashion a number of key messages that shaped the design of the Forum, as well as the communication of its conclusions. A 'Call for Action' to promote the importance of engaging local people in carbon-financing initiatives was produced and widely disseminated in the weeks following the event, featuring prominently in a number of regional and international list-serves. Translation into six regional languages and distribution during the Bangkok UNFCCC meeting has ensured wider reach at national levels. Dissemination is ongoing.

■ Media Engagement

RECOFTC's first press conference, entitled 'Unlocking Climate Change,' was held at the Foreign Correspondents' Club of Thailand and attracted a number of regional journalists, donors, and partners. The event featured a strong panel discussion with key partners, concluding that local people hold the key to REDD. In addition to this being an important internal capacity-building exercise, the key points were picked up by the *Voice of America* and other regional news outlets.

■ Publications

Throughout the year publications were produced in a more functional, user-friendly format continuing a shift away from academic styles. These included the 2007 Conference on Poverty and Forests report and its interactive CD-ROM; an issues paper on the risks and opportunities of payment for environmental services schemes; a series of three 'Decoding REDD' workshop reports; REDD training briefs in five regional languages; the Forum's 'Call for Action' in six languages; 'Unlocking Climate Change'; and a climate change publication in Thai for young people. All are now available in both print form and online.

■ Sharing Lessons Learned

Increasing investment is being made in capturing, documenting, and disseminating lessons learned more systematically. Stories of influence, impact, and change are being developed and distributed on the RECOFTC website, including a series of personal reflections from delegates attending COP14 in Poznan, and participants' stories from the five-phase conflict management training. A series of four short films, 'Voices of the Forest,' with stories from communities in Thailand, Cambodia, and Nepal, was produced for the XIII World Forestry Congress, to be held in October 2009. These films present a powerful case for community forestry. They show how local forest management can make a profound difference for livelihoods, capacities to adapt to climate change, and forest health. They are being widely disseminated to audiences through partner networks, the website, Facebook, and YouTube.

■ Reaching Out

RECOFTC is making increasing use of innovative communication outlets and social media. We have our own Facebook and YouTube pages and a Ning learning network. Although there is much to be learned about these new forms of communication, it is already clear that they offer exciting opportunities for networking and outreach at minimal cost. It is clear that there are strategic opportunities to package RECOFTC key messages to reach new audiences, as well as providing them in more accessible and interesting ways to existing stakeholders. Bringing a dedicated information technology (IT) expert into the communications team is maximizing synergies, and opening up possibilities for more effective IT systems, as well as audio and video networking between Thailand and other focal countries.



■ Resource Mobilization

RECOFTC's internal fund-raising awareness, skills, and capacity are being strengthened through an innovative project funded by the Ford Foundation. An initial capacity-building workshop for key staff in March 2009 was followed up in September with a workshop on improving systems and processes. A presentation on 'People, Planet, Profit' was made at a major JICA Corporate Social Responsibility seminar in Tokyo. The communications unit is increasingly playing a role in strengthening relationships with core donors, as well as supporting approaches to potential donors such as AusAID. Success in this area is being measured by a number of significant fund-raising gains, including the award of increased funds from two of RECOFTC's donors, from TNC-RAFT, and also through the establishment of a number of new funding relationships.



■ Knowledge Management

Finally, a more strategic approach is being taken to better tailor RECOFTC's knowledge management and information products to the needs of key community forestry stakeholders. Monitoring of both the monthly E-news and the website shows a modest increase in views and page openings month by month, and the relocation and refurbishment of the library continues to increase its use.





Result 4

RECOFTC has enhanced its operations to foster innovative program planning and delivery on the ground.

**ENHANCING
ORGANIZATIONAL
AND SUPPORT
SYSTEMS TO
DELIVER RESULTS**

ENHANCING ORGANIZATIONAL AND SUPPORT SYSTEMS TO DELIVER RESULTS

RECOFTC's new organizational structure was introduced to enhance program coordination, development, and monitoring and evaluation (M&E). First, the core functions of communications, marketing, and fund-raising were grouped under one unit with enhanced status. Second, a new unit was developed, responsible for RECOFTC program coordination (national and regional), development, and monitoring and evaluation. Internally, the overall assessment is that the new structure has yielded very positive results in a relatively short time. Although most of the program managers are new, the synergies are highly evident and sustained within a strengthened support environment.

■ Stronger Country Engagement

Throughout 2008–2013, RECOFTC intends to work programmatically in six focal countries: Cambodia, China, Indonesia, Lao PDR, Thailand, and Vietnam. Country Program strategies will be linked to existing national sectoral and development strategies, nested within the RECOFTC Program Plan, and turning RECOFTC's conceptual work, especially on key themes and issues, into practice on the ground. The goal of furthering and advocating community forestry will be achieved in partnership and collaboration with governments, local people and other key nongovernmental stakeholders.

Cambodia

In Cambodia, RECOFTC is currently involved in the implementation of two field projects, with regular inputs into other initiatives. A country strategy has been drafted, although this has yet to develop into a coherent, functioning program. The establishment of a RECOFTC Country Program Office in Cambodia has been initiated. RECOFTC has a total of 27 project staff and one student intern from the University of Copenhagen, Denmark.

■ Capacity Building for Sustainable Forest Land Management Project (CBSFLMP)

The CBSFLMP has been ongoing since September 2006 and has thus far provided 718 participants with a total of 3,564 person days of community forestry 'training for action.' Participants include community forest management (CFM) members, local government, Forestry Administration (FA) staff, and NGO staff. A major highlight was the formal approval of Community Forestry areas, an outcome largely delivered as a result of RECOFTC's work. Of the 176 potential community forest areas (an area amounting to 143,797 hectares) submitted to the FA and the Ministry of Agriculture, Forestry and Fisheries (MAFF), 48 sites, or an area of 40,866 hectares, were approved in Kampong Thom by the MAFF *prakas* on 19 November 2008. This represents 42% of the total number of sites approved in Cambodia so far. Ultimately, of the 48 community forest approvals, 32 agreements were signed – officially handing over 14,379 hectares of forest to 52 villages involving 2,763 families. This represents 54% of the total number of agreements signed in Cambodia so far. This was a major achievement for community forestry in Cambodia, and for RECOFTC. Significant publicity was generated in local

newspapers, TV broadcasting, RECOFTC E-news, and on our website. Finally, a pilot provincial community forest network involving 25 communes was established in Kampong Thom in February 2009.

■ **Prakas: Community Forestry in Northeast Cambodia Project**

This 18-month project, funded by La Agencia Española de Cooperación Internacional para el Desarrollo (AECID), is designed to support the development of 14 community forests in four provinces, in partnership with Cives Mundi and three local NGOs: in Ratanakiri Province, Non-timber Forest Products Exchange Program (NTFP); in Mondulkiri and Stung Treng Provinces, Culture Environment Preservation Association (CEPA); and in Kratie Province–Kasekhor Thmey. This project also complements and collaborates with CBSFLMP. Despite a 3-month delay, the project is now well underway.

■ **Krub Krong Prey Chheu Yeung 'Managing Our Forest' Project**

This new project approved by AECID is to be implemented by RECOFTC in partnership with the Spanish NGO Ayuda, Intercambio y Desarrollo (AIDA) and the Forestry Administration. This 24-month project will complement both the CBSFLMP and Prakas projects. It will support, among other things, community forestry management planning and the institutional development of 20 community forests in Kampong Thom and Kratie provinces. Project implementation is expected to commence in November or December 2009.

China

While there are no current RECOFTC in-country activities, there are regional activities planned that involve China. The most significant is the Regional Conflict Research Project linked to the TNC-RAFT regional conflict capacity-building program. This project is conducting research in six countries (Cambodia, China, Indonesia, Lao PDR, Thailand, and Vietnam) with partners such as TNC, the National Land Management Authority (NLMA) for Lao PDR, CIFOR, Hue University of Agriculture and Forestry, and others. In China, the local partner will be the Social Science Academy of China with the field site in Inner Mongolia. Overall, the project will run from May to November 2009 and will result in eight case studies and a regional synthesis.

Indonesia

RECOFTC is currently involved in the implementation of two field projects in South Sulawesi, with inputs into other initiatives by national and regional staff. There are plans for the development of a country strategy, which will guide development into a coherent, functioning program. The development of a country strategy will build on the results of the Capacity Building Needs Assessment programmed for the first quarter of the next financial year.

■ **Green Kecamatan Development Program (Green KDP)**

This four-year project, in three districts of South Sulawesi, aims to foster local stewardship of natural resources and improve rural livelihoods through environmentally sound approaches to sustainable development. As a pilot project it is intended to mainstream environmental considerations into the national Kecamatan Development Program. A training needs assessment and analysis in the three target districts has been completed, and training on identified topics has been delivered to target groups at community and district levels.

■ **Enhancing Capacity for Improved Community Based Forest Management (ECICBFM) Project**

This project, now into its second phase, is funded by the Ford Foundation and runs until mid-2010. The project builds on the achievements of the initial phase and has the goal of contributing to the livelihood security of forest-dependent communities through greater accountability and responsiveness of public policies and institutions to natural resource governance. The purpose is to enhance the capacity of forest-dependent communities to secure forest rights through effective engagement in the policy process and to establish sustainable forest product-based enterprises.

Free, Prior, and Informed Consent and Conflict Resolution

RECOFTC is working with the Forest Peoples Programme to design and prepare a workshop within the RRI framework for Indonesia. RECOFTC is responsible for preparation and delivery of training in Bahasa Indonesia.

Lao PDR

■ **Grassroots Capacity Building Program for REDD**

This project, approved and funded by Norad since June 2009, includes Lao PDR as one of the three focal countries. The National University of Lao's (NUoL) Faculty of Forestry is the in-country partner for a SDC-funded pilot REDD training from March to April 2009 and has been approached as the possible implementing partner for the Norad project. Confirmation is pending project approval by the national REDD Task Force. RECOFTC's contribution to capacity-building efforts in Lao PDR, through the pilot training event and the new Norad project, have been formally recognized in national-level forums and highlighted by both NUoL and the Department of Forests.

Thailand

RECOFTC has finally established a formal Country Program in Thailand with generous support from the Government of Thailand. A country capacity-building needs assessment was conducted during the second half of the financial year. The Thailand Country Program

hosted a number of consultation meetings with key stakeholders throughout the year, and these inputs will be combined with results from the country capacity building needs assessment to feed into a draft of the country strategic plan, which is scheduled to be available by December 2009. A number of documents on community forest management and related issues, including two publications in Thai, *Participatory Community Forestry Management Planning*, and *Community Forestry Networking from Mountain to the Sea*, were published and disseminated. The proceedings of the 2008 Community Forestry Assembly National Seminar were published in September and await dissemination.

The Thailand Country Program has served as the organizational focal point for facilitating on-demand in-country study tours and training events. Highlights include holding a training course for the Department of Environmental Quality Promotion and hosting a study tour for FECOFUN. Intra-organizationally, it coordinated visits to partner community forestry sites for activities under other departments.

Community Forestry and Thailand Youth

RECOFTC continues to develop its relationship with the Siam Commercial Bank Foundation, particularly regarding community forestry and youth development. The Foundation has also invited the Program to become a partner in the Empower Youth Festival to be held on 9–11 October 2009 and to organize the section on Youth and Save the World, which plans to have 120 young people involved in natural resource and environmental management in different provinces to present their experiences at the festival.

Thailand Collaborative Country Support Project (ThCCSP) - Highlights

ThCCSP and the Community Forestry Assembly organized a National Seminar on 'Community Forestry: Livelihood Security.' Five hundred and ninety participants from government agencies, NGOs, community-based organizations, community forestry networks, educational institutes, the private sector, the media, and youth groups attended. Lessons learned from the ThCCSP have been documented and published. The project was successfully completed to the satisfaction of partners, beneficiaries, and Danida.

Concepts and Appropriate REDD Models for Sustainable Forest Management and Climate Justice in the Thai Context

The Thailand Program is actively involved in the national Climate Change Working Group (CCWG) and in the first half of 2009 developed a research proposal looking at appropriate and equitable models for REDD and sustainable forest management in Thailand. The proposal was accepted for funding by the Thailand Research Fund (TRF), and action-research has been initiated covering five regions in the country. Research findings will be used by the TRF to inform the Thailand inputs to COP15, and the final results of this study will be published in March 2010.

■ Biodiversity Conservation Corridors Initiative

The Thailand Country Program finished work on a number of contracts for the Asian Development Bank (ADB)-funded Biodiversity Conservation Corridors Initiative (BCI). The initiative delivered capacity building on Community Based Natural Resource Management (CBNRM) and participatory monitoring and ecosystem assessment, and conducted research on village fund management and alternative livelihoods in the Tenessarim Corridor in western Thailand.

Vietnam

As part of RECOFTC's Country Strategy development, a stakeholder consultation workshop was held in June 2009. Around 35 participants from government, national, and international NGOs, donor organizations, and academia attended. Based on the results of the workshop, the country strategy will be revised.

Summary of Main Results from the RECOFTC Consultation Workshop in Vietnam

RECOFTC's role/niche in Vietnam

- Capacity building
- Dissemination/ dialogue
- Documentation and synthesis
- Analysis

Areas of focus

- Tenure rights (forest and forest products)
- Conflicts (in land and forest uses)
- Climate change (REDD and climate change awareness raising)
- Governance (CFM)
- Forest benefits and market

Partners

- Government organizations: departments and institutes
- NGOs: Vietnamese and international
- International organizations

Geographical focus

- Both national and local levels
- Diversification: Northern uplands, Central and Central Highlands

There are significant opportunities for RECOFTC in Vietnam and the aim is to ensure that within two years, a small but self-sustaining Country Program is established.

■ Forest Governance Learning Group (FGLG) Project

In Vietnam, FGLG focuses on supporting poverty alleviation through community forestry. Project activities focused on learning from existing experiences in community forestry and poverty alleviation. Various exchange visits and study tours to 25 CFM models in

seven provinces in Vietnam were organized. Lessons learned were documented in full reports, and key findings and implications in policy briefs. In total, the project produced 16 outputs, including a synthesis report of findings from CFM surveys two provinces, three policy briefs, a forestry legal handbook, and proceedings of the national CFM workshop organized in June.

Afghanistan

RECOFTC conducted a skills-based training on Community Forestry Development in Mazar-e-Sharif, Balkh Province, during May. The training provided opportunities for officials from government agencies to gain a basic understanding of community forestry concepts and principles, as well as to practice participatory tools and techniques in assisting local people to develop forest management plans.

Ethiopia

RECOFTC provided technical support to the Belete-Gera Participatory Forest Management project, organizing an introductory training course on 'Participatory Forest Management Planning' in January. JICA Ethiopia supports the project and requested that RECOFTC facilitate another national-level training course on 'Facilitation Skills for Participatory Forest Management' in June, and to provide inputs in reviewing a 'Field Manual for Community Forestry Development.'

Tanzania

RECOFTC had several activities underway in Tanzania this year, including conducting a training and strategic planning event on REDD for civil society organizations in East Africa funded by CARE. Preparations for a Norwegian-funded REDD capacity-building project in collaboration with the Tanzania Forest Conservation Group (TFCG) are underway. RECOFTC staff traveled to Tanzania during the third quarter for the first workshop, and the first training input will commence in early 2010.

REPORTS AND PUBLICATIONS

October 2008 – September 2009 RECOFTC Regional Publications

Title	Type	Month Printed	Distribution (hard copies)
Decoding REDD: Issues of Scale	Paper	March, 2009	1,850
Decoding REDD: Addressing and Assessing the Second <i>D</i>	Paper	May, 2009	1,980
REDD Briefs 101, 102, 103 (English, Vietnamese, Lao, Khmer)	Paper	March, 2009	260
Forestry and Poverty Data in Vietnam	Paper	February, 2009	356
International Conference: Poverty Reduction and Forests	Conference Proceedings	January, 2009	1,036
RECOFTC Strategic Plan 2008–2013	Strategic	June, 2008	1,240
RECOFTC Strategic Brief	Strategic	June, 2008	964
Glossy Annual Report 2006–2007	Report	April, 2008	482
Participatory Management of Forests and Protected Areas – A Trainer's Manual	Manual	July, 2008	25
Illegal Logging: Current Issues and Opportunities for Sida/SENSA Engagement in Southeast Asia	Paper	September, 2008	270
Forest Tenure Reform in Viet Nam: Case Studies From the Northern Upland and Central Highlands Regions	Paper	August, 2008	306
Whose Forest Tenure Reform Is It? Lessons from Case Studies in Vietnam	Policy brief	April, 2008	100
Pro-Poor Payment for Environmental Services	Policy brief	February, 2008	200
Community Forest Management for Whom? Learning from Field Experience in Vietnam	Policy brief	April, 2008	100
Insight: Notes from the Field, Issue 3	Periodical	January, 2008	60
People, Forests, and Human Well-being: Managing Forests for People in a Period of Rapid Change	Synthesis Report	July, 2008	550
Call for Action – English version	Paper	August, 2009	1,020
Payment for environmental services and poverty reduction: Risk and opportunities	Paper	June, 2009	181

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DONORS, SPONSORS, AND PARTNERS

- Ayuda, Intercambio y Desarrollo (AIDA)
- CARE International
- Center for Community Development Studies (CDS, China)
- Center for Resource and Environment Studies (CRES)
- Cives Mundi (Spain)
- Community Based Natural Resource Management Learning Institute (CBNRM LI)
- Council of Renewable Natural Resources Research of Bhutan (CoRRB)
- Culture Environment Preservation Association (CEPA, Cambodia)
- Department for International Development (DFID, United Kingdom)
- Department of National Park, Wildlife, and Plant Conservation, Thailand (DNPW)
- Environmental Partners Institute (LML, Indonesia)
- European Commission
- European Union
- Food and Agriculture Organization of the United Nations (FAO) and FAO's Regional Office for Asia and the Pacific
- Ford Foundation
- Forest Administration, Cambodia
- Forest Department, Vietnam
- Foundation for Peoples and Community Development (FPCD)
- German Agency for Technical Cooperation (GTZ)
- Helvetas and Swiss Agency for Development and Cooperation (SDC)
- Indonesian Center for Environmental Law (ICEL)
- Institut Penelitian dan Pemberdayaan Masyarakat (Research and Community Development Institute, IPPM, Indonesia)
- International Agricultural Centre (IAC), Wageningen International
- International Development Research Center (IDRC)
- International Forestry Cooperation Center (IFCC, China)
- International Institute for Environment and Development (IIED)
- International Potato Center-Users' Perspectives with Agricultural Research and Development (CIP-UPWARD)
- International Tropical Timber Organization Civil Society Advisory Group (ITTO-CSAG)
- Japan International Cooperation Agency (JICA)
- Japanese Social Development Fund (JSDF)
- Kasekor Thmey
- Kasetsart University
- KONSTAN, NGO Coalition for Forests (Indonesia)
- La Agencia Española de Cooperación Internacional para el Desarrollo (AECID)
- Lestari (Indonesia)
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- Ministry of Environment, Cambodia
- Ministry of Foreign Affairs, Norway
- Ministry of Forestry, Indonesia
- National Agriculture and Forestry Research Institute of Lao PDR (NAFRI)
- Netherlands Development Organization (SNV)

- Non-timber Forest Products Exchange Programme (NTFP EP, Cambodia)
- Norwegian Agency for Development Cooperation (Norad)
- Overseas Development Institute (ODI)
- Princeton-in-Asia (USA)
- Pusdiklat (Indonesia)
- Responsible Asia Forestry and Trade Program (RAFT)
- Rights and Resources Group (RRG)
- Rights and Resources Initiative (RRI)
- Social Forestry Division, Bhutan
- South East Asia Network for Agroforestry Education (SEANAPE)
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Ms. Pimpakarn Serithammarak, Executive Secretary

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Mr. Sanjiv Ray, Manager - Corporate Services
Ms. Wirinya Chatwiriyaamongkol (formerly Ms. Petcharat Na Chiangmai), Accountant
Ms. Kasma Chatiyant, Human Resources and Administration Manager
Ms. Pitchaya Songsa-ardjit, Accounts Officer (resigned in May 2009)
Ms. Pornlada Ussavachinchot, Accounts Officer (joined in June 2009)
Ms. Sivaporn Poommanee, Accounts Assistant (joined in September 2009)
Ms. Saifon Bhumpakapan, Accounts Assistant
Ms. Prapai Sikram, Accounts Assistant
Ms. Benjaporn Kuekij, Administrative Assistant
Ms. Thanyarat Athichotthanyanon (formerly Ms. Panida Chokkulsawat),
Administrative Assistant
Ms. Sureeporn Klaypan, Receptionist
Mr. Komkris Jarutrakulchai, Administrative Support
Ms. Phenpichar Sakhamula, Messenger/Receptionist
Mr. Boonruen Mataeng, Driver
Mr. Vinai Im-em, Driver
Ms. Chantana Pakseelert, Housekeeper
Ms. Payung Tongkum, Housekeeper
Ms. Sa-nguan Jongjit, Housekeeper
Ms. Dusita Boonsueb, Tea Lady/Receptionist
Mr. Jaras Buakartok, Gardener/Porter
Mr. Pracha Suwannapak, Technician

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Ms. Susan Mackay, Manager Strategic Communications (joined in November 2008)
Mr. Duncan McLeod, Communications Officer
Ms. Phinyada Atchatavivann, Partnership Officer (joined in July 2009)
Ms. Erica Pohnan, Assistant Program Officer – Communications (former PiA Fellow since September 2008)
Ms. Thippawan Maidee, Documentation Center Administrator
Mr. Bede Key, IT and MIS Specialist (contract ended in June 2009)
Mr. Thoedsak Loidonfaek, IT Officer (joined in July 2009)
Ms. Apinita Siripatt, Program Support Officer
Mr. John (Jack) Ackerman, PiA Intern (June – August 2009)
Ms. Jaravee Osathanugran, Volunteer (August 2009)
Ms. Lynsey Enters, Volunteer (September 2009 – January 2010)

Regional and Country Analysis and Support (RECAS)

- Dr. Thomas Enters, Manager (joined in October 2008)
- Dr. Yurdi Yasmi, Senior Program Officer
- Mr. Ben Vickers, Senior Program Officer
- Mr. Robert Oberndorf, J.D., VERIFOR Project Coordinator (project completed in February 2009)
- Dr. Ganga Ram Dahal, RRI Regional Facilitator (joined in May 2009)
- Mr. Madankumar Janakiraman, Assistant Program Officer (joined in September 2009)
- Ms. Wallaya Pinprayoon, Program Administrative Officer (resigned in December 2008)
- Ms. Ramida Thanananthachat, Program Administrative Officer (joined in January 2009)
- Ms. Erica Pohnan, Princeton in Asia Fellow (finished assignment in August 2009)
- Ms. Lisa Kelley, Princeton in Asia Fellow (joined in September 2009)

Capacity Building Services (CABS)

- Ms. Xuemei Zhang, Manager (joined in November 2008)
- Mr. Ronnakorn Triraganon, Senior Program Officer
- Ms. Martine Miller, Conflict Management Training Specialist and Learning Network Coordinator (resigned in March 2009)
- Ms. Somjai Srimongkontip, Training and Study Tour Facilitator (contract ended in December 2008)
- Mr. Poom Pinthep, Training and Study Tour Facilitator (contract ended in December 2008)
- Ms. Regan Suzuki, Capacity Building Specialist (joined in April 2009)
- Ms. Li Qian, Assistant Program Officer (joined in September 2009)
- Ms. Leela Wuttikraibundit, Program Administrative Officer
- Ms. Raagini Onta Faith, Volunteer (July – August 2009)
- Ms. Selina Larsen, Volunteer (July – October 2009)

Program Coordination, Monitoring and Evaluation (PROCOME)

- Mr. John Guernier, Program Coordinator (left in July 2009)
- Mr. James Bampton, Program Coordinator (joined in July 2009)
- Mr. Julian Atkinson, Assistant Program Officer (former Intern since September 2007)
- Ms. Ferngfa Panupitak, Program Administrative Officer
- Ms. Somying Soontornwong, Thailand Program Coordinator (joined in January 2009)
- Mr. Rawee Thaworn, National Program Officer (joined in January 2009)
- Ms. Attjala Roongwong, National Program Officer (joined in January 2009)

Cambodia: Japanese Social Development Fund/World Bank Project

- Mr. James Bampton, Chief Technical Advisor (moved to RECOFTC Bangkok in July 2009)
- Mr. Edwin Payuan, Chief Technical Advisor (joined in July 2009)

Ms. Sorn Chansey, Accounts and Administration Officer
Ms. Hou Kalyan, CF Training Coordinator
Mr. Heng Da, CF Partnerships Coordinator
Mr. Horm Chandet, Kratie Forest and Land Monitoring Coordinator – Kratie
Mr. Seth Kimsong, Kratie Forest and Land Monitoring Assistant - Kratie
Ms. Im Maredi, Provincial CF Partnerships Coordinator – Kampot
Mr. Kao Vutha, Provincial CF Partnerships Coordinator – Kampong Thom
Mr. Chhun Delux, Provincial CF Partnerships Coordinator – Kratie (resigned in February 2009)
Mr. Leng Doeun, Provincial CF Partnerships Coordinator – Kratie (joined in April 2009)
Mr. Peak Monau, Provincial CF Partnerships Coordinator – Pursat (joined in November 2008)
Mr. Pen Ratana, Provincial CF Partnerships Coordinator – Ratanakiri (resigned in March 2009)
Mr. Klot Sarem, Provincial CF Partnerships Coordinator – Ratanakiri (joined in June 2009)

Cambodia: Cives Mundi Project

Mr. Kirivuth Chhneang, Project Coordinator (joined in December 2008)
Mr. San Sochea, Accounts and Administrative Officer (joined in February 2009 and resigned in September 2009)

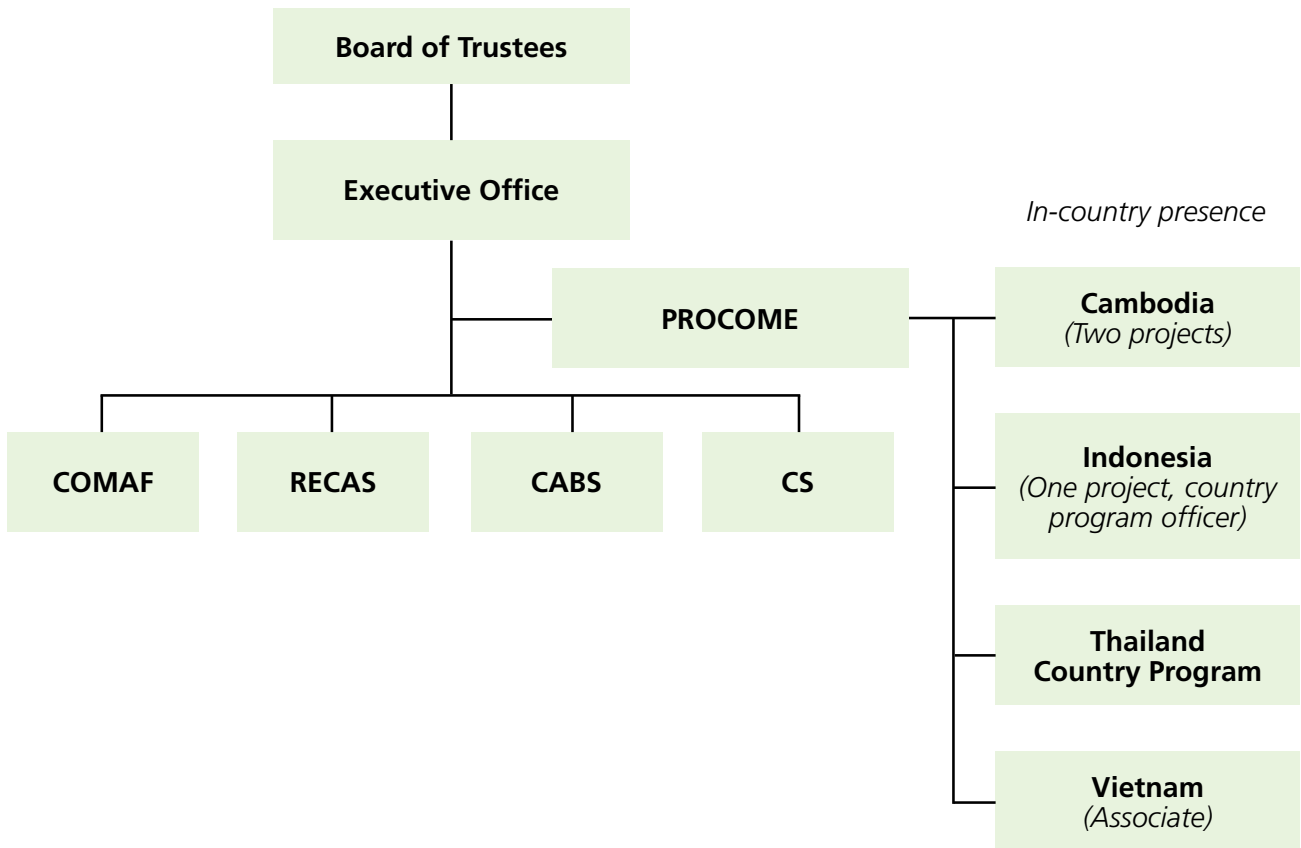
Indonesia

Mr. Tony Djogo, Indonesia Country Coordinator (contract ended in December 2008)
Ms. Mila Nuh, Indonesia Program Officer (joined in March 2009)
Mr. Abdul Syukur Ahmad, Indonesia RECOFTC Green KDP Trainer
Ms. Ita Rosita, Assistant for Training Coordinator (contract ended in September 2009)
Dr. Supratman Suyuti Madyan, Project Coordinator

Thailand Collaborative Country Support Program (Project ended in December 2008)

Ms. Somying Soontornwong, Manager
Mr. Rawee Thaworn, Project Coordinator
Ms. Attjala Roongwong, Project Coordinator
Ms. Sirintip Chansila, Training Coordinator
Mr. Tanongsak Janthong, Project Staff
Mr. Narongsak Prinyasuthinan, Project Staff
Mr. Chakkrapong Kongchury, Project Staff
Mr. Aroon Pilachuean, Project Staff
Ms. Mhethawee Pakilakhe, Associate Project Staff
Ms. Ramida Thanananthachat, Secretary

PROGRAM STRUCTURE



- CABS:** Capacity Building Services
- COMAF:** Communications, Marketing and Fund-raising
- CS:** Corporate and Support Services
- PROCOME:** Program Coordination, Monitoring, and Evaluation
- RECAS:** Regional and Country Analysis and Support

FINANCES

Auditor's Report

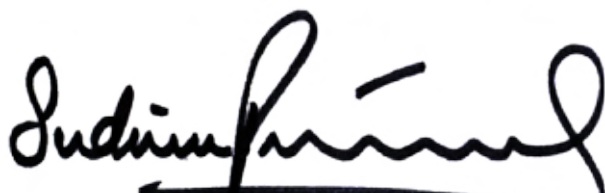
To the Board of Trustees of Regional Community Forestry Training Center for Asia and the Pacific

We have audited the accompanying balance sheets as at 30 September 2009 and 2008, and the related statements of revenue and expenditure and changes in fund balance and cash flows for the years then ended of Regional Community Forestry Training Center for Asia and the Pacific ("RECOFTC"). The RECOFTC management is responsible for the correctness and completeness of information in these financial statements. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position as at 30 September 2008 and 2007, and the results of its revenues and expenses and cash flows for the years then ended of RECOFTC in accordance with generally accepted accounting principles.

For PricewaterhouseCoopers ABAS Limited



By Sudwin Panyawongkhanti
Bangkok, Thailand
15 January 2010

Balance Sheets

As at 30 September 2009 and 2008

	2009	2008
	Baht	Baht
Assets		
Current assets		
Cash and cash equivalents	44,047,473	39,359,211
Restricted fixed/time deposits account at financial institution	4,924,807	4,924,807
Short-term investments at financial institution	13,000,000	10,640,000
Grants receivable	6,297,228	7,579,488
Accounts receivable	2,638,617	1,130,037
Loans and advances to employees	2,847,111	452,073
Other current assets	540,802	415,147
Total current assets	74,296,038	64,500,763
Non-current assets		
Plant and equipment (net)	13,179,269	15,272,632
Total non-current assets	13,179,269	15,272,632
Total assets	87,475,307	79,773,395
Liabilities and fund balance		
Current liabilities		
Deferred grants	25,018,287	27,118,410
Advance received	627,155	69,325
Accrued expenses	4,272,606	3,378,757
Current portion of provision for transitional compensation	6,380	6,380
Other current liabilities	251,688	239,115
Total current liabilities	30,176,116	30,811,987
Total liabilities	30,176,116	30,811,987
Fund balance	57,299,191	48,961,408
Total liabilities and fund balance	87,475,307	79,773,395

Statements of Revenues and Expenditures and Changes in Fund Balance for the years ended 30 September 2009 and 2008

	2009	2008
	Baht	Baht
Revenues		
Grant receipts	92,476,987	75,530,728
Courses, workshops and seminar receipts	4,885,337	4,718,116
Contribution receipts	2,611,387	1,577,713
Study tours	598,502	328,275
Consultancies	14,007,746	6,817,931
Dormitory and facilities	6,710,296	6,579,009
Interest income	828,724	1,523,075
Other income	477,792	1,951,336
Total revenues	122,596,771	99,026,183
Expenditures		
Staff costs	56,486,515	44,966,053
Activities costs	46,699,584	37,739,138
Operational costs	8,099,691	6,457,586
Depreciation expense	2,973,198	2,692,338
Total expenses	114,258,988	91,855,115
Excess of revenues over expenditures	8,337,783	7,171,068
Fund balance, beginning balance	48,961,408	41,790,340
Fund balance, ending balance	57,299,191	48,961,408

ACRONYMS

ADB	Asian Development Bank
AECID	La Agencia Española de Cooperación Internacional para el Desarrollo
AIDA	Ayuda, Intercambio y Desarrollo
APF-Net	Asia-Pacific Network for Sustainable Forestland Management
ASEAN	Association of Southeast Asian Nations
ASFN	ASEAN Social Forestry Network
BCI	Biodiversity Conservation Corridors Initiative
BOT	Board of Trustees
CABS	Capacity Building Services
CBNRM	Community Based Natural Resource Management
CBNRM LI	Community Based Natural Resource Management Learning Institute
CBSFLMP	Capacity Building for Sustainable Forest Land Management Project
CCBA	Community, Climate and Biodiversity Alliance
CCWG	Climate Change Working Group
CDS	Center for Community Development Studies, China
CEPA	Culture Environment Preservation Association, Cambodia
CFM	Community forest management
CIFOR	Center for International Forestry Research
CIM	Centre for International Migration and Development
CIP-UPWARD	International Potato Center-Users' Perspectives with Agricultural Research and Development
COMAF	Communications, Marketing, and Fund-raising
COP	Conference of the Parties
CoRRB	Council of Renewable Natural Resources Research, Bhutan
CRES	Center for Resource and Environment Studies
Danida	Danish International Development Agency
DFID	Department for International Development, United Kingdom
DNPW	Royal Thai Department of National Parks, Wildlife and Plant Conservation
ECICBFM	Enhancing Capacity for Improved Community Based Forest Management
FA	Forestry Administration, Cambodia
FAO	Food and Agriculture Organization of the United Nations
FECOFUN	Federation of Community Forestry Users, Nepal
FGLG	Forest Governance Learning Group
FPCD	Foundation for Peoples and Community Development
FSC	Forest Stewardship Council
GACF	Global Alliance of Community Forestry
GI	Governance Index
Green KDP	Green Kecamatan Development Program
GTZ	German Agency for Technical Cooperation
JICA	Japanese International Cooperation Agency
IAC	International Agricultural Centre
ICEL	Indonesian Center for Environmental Law
ICIMOD	International Centre for Integrated Mountain Development

IDRC	International Development Research Center
IFCCC	International Forestry Cooperation Center, China
IIED	International Institute for Environment and Development
IPPM	Institut Penelitian dan Pengembangan Masyarakat (Research and Community Development Institute)
IT	Information technology
ITC	International Institute for Geo-Information Science and Earth Observation
ITTO-CSAG	International Tropical Timber Organization Civil Society Advisory Group
IUCN	The International Union for Conservation of Nature
JICA	Japan International Cooperation Agency
JSDF	Japanese Social Development Fund
Lao PDR	Lao People's Democratic Republic
LML	Environmental Partners Institute
MAFF	Ministry of Agriculture, Forestry and Fisheries, Cambodia
M&E	Monitoring and evaluation
MoU	Memorandum of Understanding
NAFRI	National Agriculture and Forestry Research Institute of Lao PDR
NLMA	National Land Management Authority
Norad	Norwegian Agency for Development Cooperation
NRM	Natural resource management
NUoL	National University of Lao
NSCFP	Nepal Swiss Community Forestry Project
NTFP EP	Non-timber Forest Products Exchange Programme, Cambodia
ODI	Overseas Development Institute
PES	Payments for Environmental Services
PROCOME	Program Coordination, Monitoring, and Evaluation
RAFT	Responsible Asia Forestry and Trade Program
RECAS	Regional and Country Analysis and Support
RRG	Rights and Resources Group
RRI	Rights and Resources Initiative
SDC	Swiss Agency for Development and Cooperation
SEANAPE	Southeast Asian Network for Agroforestry Education
SEARCA	Southeast Asian Regional Center for Graduate Study and Research in Agriculture
Sida	Swedish International Development Cooperation Agency
SNV	Netherlands Development Organization
TFCG	Tanzania Forest Conservation Group
ThCCSP	Thailand Collaborative Country Support Project
TNC	The Nature Conservancy
TRF	Thailand Research Fund
UNFCCC	United Nations Framework Convention on Climate Change
UNHAS	University of Hasanuddin
USAID	United States Agency for International Development



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PEOPLE AND FORESTS**

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